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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	T2153-906593
	First Inventor or Application Identifier	ROGER ET AL.
	Title	Method and Device for Model Resolution and its Use for Detecting Attacks Against Computer Systems
	Express Mail Label No.	

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification [Total Pages 20] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the invention</li><li>- Brief Summary of the invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul>	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2] formal	<b>ACCOMPANYING APPLICATION PARTS</b> 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee) 9. <input checked="" type="checkbox"/> English Translation Document (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 11. <input checked="" type="checkbox"/> Preliminary Amendment X CORRESPONDENCE ADD- 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) RESS & NOTICE OF FILING WITHOUT A DECLARATION (Should be specifically itemized) * Small Entity Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 13. <input type="checkbox"/> Statement(s) of Priority Document(s) (if foreign priority is claimed) 14. <input type="checkbox"/> Certified Copy of Priority Document(s) 15. <input checked="" type="checkbox"/> Other: Verification of Translation Claim for Priority Proposed Drawing Corrections	
4. Oath or Declaration [Total Pages] <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none"><li>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li></ul></li></ul>		
<b>* NOTE FOR ITEMS 1 &amp; 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</b>		
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<b>17. CORRESPONDENCE ADDRESS</b> <input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or <input checked="" type="checkbox"/> Correspondence address below		

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Signature	<i>Edward J. Kondracki</i>	Date	Sept. 13, 2000

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**Verification of Translation**



I, Robin Holding, having an office at 948 15th Street, #4, Santa Monica, CA 90403-3134, hereby state that I am well acquainted with both the English and French languages and that to the best of my knowledge and ability, the appended document is a true and faithful translation of

**French Patent Application No. 99 11716, filed September 13, 1999 in the name of BULL S.A. and INRIA.**

I further declare that the above statement is true; and further, that this statement is made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

August 29, 2000

Date

Robin Holding  
Robin Holding

SCANNED

[illegible]

.....

Examiner:

Group Art Unit:

Corres. To FR 99/11716

Filed September 13, 1999

[illegible]

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100

Sir:

IN THE SPECIFICATION:

--Field of the Invention--;

--Background of the Invention--;

**--Summary of the Invention--;**

--Summary of the Invention--;

Page 3, at line 9 and before the paragraph beginning "Other characteristics...", insert the following heading at the left hand margin:

--Brief Description of the Drawings--;

Page 3, at line 17, before the paragraph beginning "Fig. 1...", insert the following heading at the left-hand margin:

--Description of the Preferred Embodiments--;

Page 6, line 25, after "table", delete "7" and substitute --(7)--;

IN THE CLAIMS:

Please cancel claims 1- 7 in their entirety and without prejudice and substitute the following new claims:

- 1           --8.    A high-performance specification resolution method for use in detecting
- 2 attacks against computer systems comprising:
- 3           a) formulating audit conditions to be detected using non-limiting specification
- 4 formulas expressing fraudulent entry or attack patterns or abnormal operations, to be
- 5 verified by examining the records of a log file of the computer system;
- 6           b) expanding said formulas into subformulas;
- 7           c) scanning by an interpreter, and generating, for each expanded formula in
- 8 each record, Horn clauses to resolve in order to detect whether or not the formula is
- 9 valid in the record, the Horn clauses expressing the implications resolvent of the
- 10 subformulas for each record scanned, in positive clauses, i.e. counting only a
- 11 positive literal and in non-positive clauses, i.e. counting at least one negative literal,
- 12 which negative literals form the negative part of the clause;

d) storing positive Horn clauses in a stack of worked subformulas, and storing, in a table comprising a representation, implicating subformula(s) constituting the negative part of the clause and the link with the implicated subformula(s) constituting the positive part of the clause, and storing in a counter the number of formulas or subformulas present in the negative part of the clause for each implicated subformula;

e) resolving the table based on each positive clause encountered, so as to generate either an output file or an action of the computer system;

f) iterating steps b) through e) until the scanning of all the records in the log file is complete.

9 A method according to claim 8, characterized in that a temporal logic is used for the formulation of the specification.

10. A method according to claim 8, characterized in that the table is a matrix and is indexed in columns by subscripts of the formulas appearing in the negative part of the Horn clauses, and the lines are the Horn clauses exactly.

11. A method according to claim 8, characterized in that the table is preferably represented in the form of a sparse matrix, the columns being represented by means of chained lists and the implicit lines.

12. A method according to claim 8, characterized in that a step for optimizing the expansion of the formulas is obtained through a hash table to ensure that the same formula is not expanded more than once in each record.

13. A method according to claim 9, characterized in that a step for optimizing the expansion of the formulas is obtained through a hash table to ensure that the same formula is not expanded more than once in each record.

14. A method according to claim 8, characterized in that the log file is scanned only once from beginning to end.

15. A computer system comprising storage means and means for executing programs for implementing a high performance resolution method for deleting attacks against the system wherein the method:

- a) formulates audit conditions to be detected using non-limiting specification formulas expressing fraudulent entry or attack patterns or abnormal operations, to be verified by examining the records of a log file of the computer system;
- b) expands said formulas into subformulas;
- c) scans by an interpreter, and generates, for each expanded formula in each record, Horn clauses to resolve in order to detect whether or not the formula is valid in the record, the Horn clauses expressing the implications resolvent of the subformulas for each record scanned, in positive clauses, i.e. counting only a positive literal and in non-positive clauses, i.e. counting at least one negative literal, which negative literals form the negative part of the clause;

14 d) stores positive Horn clauses in a stack of worked subformulas, and storing,  
15 in a table comprising a representation, implicating subformula(s) constituting the  
16 negative part of the clause and the link with the implicated subformula(s) constituting  
17 the positive part of the clause, and stores in a counter the number of formulas or  
18 subformulas present in the negative part of the clause for each implicated  
19 subformula; and

20 e) resolves the table based on each positive clause encountered, so as to  
21 generate either an output file or an action of the computer system;

22 - an adaptor for translating information from a log file formulated in the specific  
23 language of the machine into a language comprehensible to an interpreter;

24 - the interpreter receiving the information from the adapter and receiving the  
25 formulation of the specification in a temporal logic in a specification formula in order  
26 to expand said formula and fill in the table and the stack of worked subformulas  
27 stored in a memory of the computer system and resulting from the scanning of the  
28 computer system's log file;

29 - a clause processing algorithm executed by the computer system, for  
30 resolving the Horn clauses using the information from the table and the stack of  
31 worked subformulas, said clause processing algorithm generating an output file or  
32 generating an action.

1 16. A computer system as defined in claim 15 wherein the temporal logic is  
2 used for formulation of the specification.

1 17. A computer system as defined in claim 15, wherein the table is a matrix  
2 and is indexed in columns by subscripts of the formulas appearing in the negative  
3 part of the Horn clauses, and the lines are the Horn clauses exactly.

1 18. A computer system as defined in claim 15, wherein the table is  
2 preferably represented in the form of a sparse matrix, the columns being represented  
3 by means of chained lists and the implicit lines.

1 19. A computer system as defined in claim 15 including a hash table to  
2 ensure that the same formula is not expanded more than once in each record.

1 20. A computer system as defined in claim 16 including a hash table to  
2 ensure that the same formula is not expanded more than once in each record.

1 21. A computer system as defined in claim 15 including means for  
2 scanning the log file only once from beginning to end.--



IN THE ABSTRACT:

Please cancel the Abstract at page 20 and the last line "Fig. 1", and substitute the following new Abstract:

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**REMARKS**

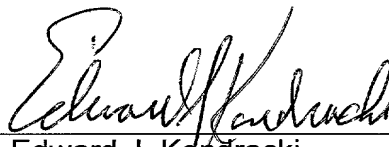
This Preliminary Amendment is made to eliminate informalities in the specification, claims and abstract resulting from a literal translation of the French text, to eliminate the use of multiple dependent claims, and to insert headings to conform the application to U.S. practice.

The present application is believed to be in condition for examination, which action is earnestly solicited.

Respectfully submitted,

Date September 13, 2000

By:

  
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## METHOD AND DEVICE FOR MODEL RESOLUTION AND ITS USE FOR DETECTING ATTACKS AGAINST COMPUTER SYSTEMS

The present invention relates to a method and device for model resolution and its use  
5 for detecting attacks against computer systems.

Secure computer systems can be subject to attacks or attempts at fraudulent entry. In  
general, one tries to ward off these attacks by establishing log files, for example system log  
files or network log files, and by running scans on these files for detecting a malfunction or  
an intrusion. The systems that perform the auditing of log files generally rely on a  
10 complicated method that poses problems in the writing, and moreover, the resulting audit is  
difficult to read. Furthermore, when the intrusion occurs in several successive non-  
concomitant stages, the system may very well not detect it. In addition, the writing of the  
audit conditions is not very flexible, not very modifiable, and poses modularity problems.  
Thus, in most rule-based systems, it is necessary to describe the audit conditions in the form  
15 of programs describing the activation of rules conditioned by events; for example, in order to  
describe an audit condition that specifies a step A, followed a short time later by B, followed  
a short time later by c, it is necessary to describe queuing rules for step A, which if successful  
must activate queuing rules for step B, which if successful must activate queuing rules for  
step C. This way of writing the sequence A, B, C is tedious, and results in errors that are hard  
20 to detect with a simple reading. Furthermore, certain known systems require the log files to  
be scanned several times.

One object of the invention is to offer a high-performance specification resolution  
method.

This object is achieved through the fact that the high-performance specification  
25 resolution method comprises:

- a) a step for formulating the audit conditions one wishes to detect using specification  
formulas expressing fraudulent entry or attack patterns or even abnormal operations, this  
being non-limiting, to be verified by examining the records of the computer system's log file;
- b) a step for expanding formulas into subformulas;
- 30 c) a step for scanning by an interpreter, which consists of generating, for each  
expanded formula in each record, Horn clauses to resolve in order to detect whether or not the  
formula is valid in this record, the Horn clauses expressing the implications resolvent of the

subformulas for each record scanned, in positive clauses, i.e. counting only a positive literal, and in non-positive clauses, i.e. counting at least one negative literal, which negative literals form the negative part of the clause;

d) a step for storing the positive Horn clauses in a stack of worked subformulas, and a step for storing, in a table comprising a representation, the implicating subformula(s) constituting the negative part of the clause and the link with the implicated subformula(s) constituting the positive part of the clause, and storing in a counter the number of formulas or subformulas present in the negative part of the clause for each implicated subformula;

e) a step for resolving the table based on each positive clause encountered, so as to generate either an output file or an action of the computer system;

f) a step for iterating steps b) through e) until the scanning of all the records in the log file is complete.

Another object is to provide great flexibility.

This object is achieved through the fact that the method uses a temporal logic for the formulation of the specification.

According to another characteristic, the table is a matrix and is indexed in columns by the subscripts of the formulas appearing in the negative part of the Horn clauses, and the lines are the Horn clauses exactly.

According to another characteristic, the table is preferably represented in the form of a sparse matrix, the columns being represented by means of chained lists and the lines remaining implicit.

According to another characteristic, an optimization of the expansion of the formulas is obtained through a hash table in order to ensure that the same formula is not expanded more than once in each record.

According to another characteristic, the log file is scanned only once from beginning to end.

Another object is to offer a device that makes it possible to implement the method.

This object is achieved through the fact that the high-performance specification resolution device comprises:

- an adapting means for translating the information from the log file formulated in the specific language of the machine into a language comprehensible to an interpreting means;
- the interpreting means receiving the information from the adapter and receiving the

formulation of the specification in the temporal logic in a specification formula in order to expand this formula and fill in the table and the stack of worked subformulas stored in a memory of the computer system and resulting from the scanning of the computer system's log file;

5           - a clause processing algorithm executed by the computer system, which makes it possible to resolve the Horn clauses using the information from the table and the stack of worked subformulas, this clause processing algorithm generating an output file or generating an action.

Other characteristics and advantages of the present invention will emerge more clearly  
10 through the reading of the following description, given in reference to the attached drawings, in which:

- Fig. 1 represents a schematic view of the hardware and software elements that make it possible to implement the method.

- Fig. 2 represents the contents of the table, of the counters of the formulas or  
15 subformulas present in the negative parts of the clauses, and of the stack, and their evolution during the implementation of the method.

Fig. 1 represents the elements required to implement the method according to the invention. The log file (1) is generally present in all the machines and can be the network log file when the machine is connected to a network, or a system log file, or any other file in  
20 which one wishes to verify a specification. A machine is understood to mean a computer comprising storage means, means for reading and for executing a program, and means for interacting with a user (for example screen, keyboard, mouse) and means for connecting to the network. This file communicates with an adapter (2), which is a software program for translating the information contained in the log file and expressed in the specific language of  
25 the machine into a high-level language understandable by an interpreter (3). The interpreter (3) also receives from a module (4) the formula of the specification to be verified, expressed in a temporal logic. This interpreter (3) performs the expansion of the formula into subformulas and the scanning of each record  $E_i$  (Annex 2) of the log file (1) in order to generate, by means of this expansion and this scanning, a resulting table and stack expressing  
30 Horn clauses stored in a memory (5). The concept of a Horn clause is well known to one skilled in the art, and is described for example in Goubault-Larrecq, Jean and Mackie, Ian, "Proof Theory and Automated Deduction," published by Kluwer, 1996). This table and this

stack are used by a clause processing algorithm (6), which receives a start order from the interpreter (3) once the latter has filled in the table (5) containing a table of counters (7) and a stack (18), after having scanned all the records  $E_i$  of the file. This algorithm will look for the resolution of the specification for all of the records. When the completed scan of the record file (1) is detected, the clause processing algorithm generates either an output file or an action of the system or the machine.

In an optimization of the method according to the invention, the phase for filling in the table (5) and the stack (18) and the phase for processing the clauses are performed concomitantly, so that the clause processing algorithm can generate the output file or the action of the system or the machine as soon as possible, and generally before the detection of the completed scan of the record file (1).

To provide a better understanding of the method implemented, the latter will be explained with the help of an example whose formulas appear in an annex at the end of the specification. First of all, a log file is a set of records  $E = E_1, \dots, E_N$ , as represented in Annex 2. Each record  $E_i$  comprises a certain amount of information such as the date, the operation in question, the machine, a result, a subject, this list being non-limiting.

Thus,  $E_1$  indicates that the machine user has tried to connect but has failed.

To formulate a specification, as represented in Annex 1, that can be detected or resolved, a specification formula in a temporal logic is used. This formula is described according to the following **formula** production in the grammar of the BNF format well known to one skilled in the art (Aho, Alfred V., Sethi, Ravi and Ullman, Jeffrey D., *Compilers: Principles, Techniques and Tools*, Addison-Wesley, 1986):

**formula** ::= atom

| formula  $\wedge$  formula

| formula  $\vee$  formula

| formula U formula

| formula W formula

**atom** ::= record

| (formula)

|  $\neg$  atom

| O atom, the next line exists and in the next line, the

atom is true

$\tilde{O}$  atom, if the next line exists, then in the next  
 line, the atom is true  
 $\Diamond$  atom, there exists a line, either the current line or a  
 subsequent line, the atom is true  
 $\forall$  atom, for all the lines starting with the current  
 line, the atom is true

The operators between formulas are the operator " $\wedge$ " for expressing a logical "AND", " $\vee$ " for expressing a logical "OR", " $\underline{U}$ " for expressing the formulation "until", and "W" for expressing the formulation "waiting for", "O" for expressing the formulation "on the next line, which exists", " $\tilde{O}$ " for expressing the formulation "on the next line, if it exists", " $\Diamond$ " for expressing the formulation "on the current line or on a subsequent line", " " for expressing the formulation "on the current line and on every subsequent line." This notation is well known to one skilled in the art, (see for example Manna, Zohar and Pnueli, Amir, *The Temporal Logic of Reactive and Concurrent Systems Specification*, Springer, 1992). Thus, the temporal formulation  $F = F1 \ W \ F2$  allows for an easy formulation of a specification to be verified.

Let us assume that the operator has entered, by means of a man-machine interface (4) that allows the generation of a temporal formula, a temporal formula like the one appearing in Annex 1.

The interface (4) will translate this formula in Annex 1 into a temporal formula where F and H are atomic formulas in which F represents {op = "connection", result = "failed", etc.} and H represents {op = "connection", result = "success", etc. Furthermore, let us assume that the log file (1) contains the records E1 through E3 represented in Annex 2.

First, the interpreter (3) performs an expansion of the formula for each record E1, E2, E3, as represented in Annex 6, by generating subformulas for each record in order to deduce from them Horn clauses that express the logical implications that exist between a formula and its subformulas, and the possibility of satisfying the atomic formulas, as represented in Annex 6. Thus, for the record E1, the formula is expanded into the subformula F to which the clause  $(f_2)$  corresponds, into the subformula  $\Diamond H$  to which the clause  $(f_2) \wedge (f_3) \rightarrow (f_1)$  corresponds, etc. The interpreter (3) includes an optimization procedure that makes it possible to eliminate the redundancies and the unnecessary steps from the table of Annex 6, and after optimization, the interpreter will retain only the clauses generated that correspond to the table of Annex 7. To facilitate the understanding of the table of Annex 7 or the table of Annex 6, the notation  $\Diamond H$



means: "There exists a line, either the current line of the record or a subsequent line, in which the formula H is verified"; in order to verify whether  $F \wedge \Diamond H$  is true in the record E1, the pairs (formula, record), called configurations, are numbered; in the example, the pair  $(F \wedge \Diamond H, E1)$  is numbered (1). The interpreter (3) expands the formula  $F \wedge \Diamond H$  in the record E1 into the formulas F and  $\Diamond H$ . The pair  $(F, E1)$  is numbered  $f_2$ , the pair  $(\Diamond H, E1)$  is numbered  $f_3$ , and the interpreter generates the clause  $(f_2) \wedge (f_3) \rightarrow (f_1)$ , which expresses that if the configuration  $f_2$  and the configuration  $f_3$  are verified, then the configuration  $f_1$  is verified, which means that F is verified in the record E1.  $O(\Diamond H)$  means : "the next line of the record exists and in the next line  $\Diamond H$  is true," which corresponds to the configuration  $f_6$  for the first record. The formula  $H \vee O(\Diamond H)$  means "H is true or the next line of the record exists and in the next line, there exists a line, either the current line or a subsequent line, in which H is true," which corresponds to the configurations  $(f_1)$  for the record E1,  $(f_9)$  and  $(f_{14})$  for the record E2 and  $(f_{19})$ ,  $(f_{23})$  and  $(f_{28})$  for the record E3. The set of horn clauses appearing in the right-hand part of the table of Annex 7 is stored in the table (5), in the counter (7) and in the stack (18) represented in Fig. 2, in the following way. The columns of the table (5) are indexed by the subscripts  $(f_2)$ ,  $(f_3)$ ,  $(f_4)$ ,  $(f_5)$ ,  $(f_6)$ ,  $(f_8)$ ,  $(f_{11})$ ,  $(f_{12})$  of the formulas appearing in the negative part of the clause. Only the subscripts that implicate a conclusion are saved. The lines of the table (5) are indexed by the subscripts  $(f_1)$ ,  $(f_3)$ ,  $(f_7)$  of the formulas appearing in the positive part of the clause. The negative part of the clause is the part located to the left of the implication arrow, which will hereinafter be called the implicating subformula(s). The positive part is to the right of the arrow and will be called the implicated formula. This representation is not limiting, and the representation in the form of a sparse matrix, the columns being represented by means of chained lists and the lines remaining implicit, is preferred. However, to provide a clear understanding of the invention, the latter will be explained using the notations of Fig. 2. To explain the notation of the table 7, the clause  $(f_2) \wedge (f_3) \rightarrow (f_1)$  means that if the configuration  $f_2$  is verified and the configuration  $f_3$  is verified, then the configuration  $f_1$  is verified. The clause  $f_7 \rightarrow f_3$  means that if the configuration  $f_7$  is verified, then the configuration  $f_3$  is too. Furthermore, during the expansion of the formulas by the interpreter (3), the latter stored in a stack (18) the positive clauses corresponding to the formulas that can be satisfied. Thus, at the end of the expansion, the formulas  $f_2$  and  $f_8$  are in the stack  $(18_1)$ , as shown in Fig. 2, and the table of the counters of negative literals in the clauses of the table is constituted by the information represented by the reference  $(7_1)$  in this

figure. In the resolution phase, the clause processing algorithm (6), when it is activated by the interpreter once the latter has filled in the tables (5, 7 and 18), after having examined the lines of the records in the log file, will begin by examining the top of the stack (18) and extracting from it the information that the configuration  $f_8$ , in this case, is satisfied. The algorithm then examines, in the table (5), the clauses that have this configuration in the negative part, in this case the configuration  $f_7$ , and deduces from them the counter that it must decrement. The counter ( $7_2$ ) represents the evolution in the counter ( $7_1$ ) of the counter that is associated with the formula represented in the positive part. The algorithm decrements the corresponding counter, in this case that of the configuration  $f_7$ , and places at the top of the stack the value "7" of the configuration that is true, as shown in the box (18<sub>2</sub>), which represents the evolution of the stack (18), while the column ( $7_2$ ) represents the evolution of the counter. Next, the clause resolution algorithm will proceed by iteration, searching for the clauses that have the configuration  $f_7$  in the negative part in order to deduce from them that the configuration  $f_3$  is true and decrement the counter corresponding to this line of configurations, as shown in the column ( $7_3$ ). The algorithm (6) continues in this way until the stack (18) is empty or contains configurations already processed, and the only configuration  $f_1$  that verifies the specification is obtained in the stack (18<sub>5</sub>).

The expansion algorithm avoids unnecessarily replicating identical configurations, represented by their pointers, by establishing a hash table. The hash table data structure and the associated algorithms are well known to one skilled in the art, (see for example Knuth, Donald Erwin, *The Art of Computer Programming, Vol. 3, "Sorting and Searching,"* Addison-Wesley, Second Edition, 1998).

Furthermore, it is also possible to achieve optimizations in the expansion of the formulas, in order to avoid several steps. Thus, instead of expanding the formula  $\Diamond F$  into  $F \vee O(\Diamond F)$ , then into  $F$  and  $O(\Diamond F)$ , and then into  $\Diamond F$  in the next state, it is expanded directly into  $F$  and into  $\Diamond F$  in the next state. Likewise, when there is a formula of the type  $F \wedge G$  where either  $F$  or  $G$  can be evaluated as false in the current state, the expansion of the formula is halted. The method developed by the invention has an advantage over the known method of the prior art, in which a truth table like the one represented in Annex 4 is first established for each atomic formula, then secondly, truth tables (Annex 5) are established for the non-atomic subformulas using the truth table of Annex 4. The model verification is then performed in two stages. First, it verifies whether the atomic formulas are true or false, which requires a

scanning of the states for each formula, then secondly, in order to establish the truth of the subformulas, it is necessary to see how each atomic formula behaves in each state, which amounts to performing several scans of the records. This means performing backward returns in the log file with all the ensuing read and set operations which, given the large size of a log file, can be very time-consuming. The method developed by the invention is much more high-performance and economical, in terms of size and the memory required to store the intermediate states.

To provide a better understanding of the algorithm, we will describe it briefly, then present it formally.

The specification file,  $F_s$ , is considered to be a finite set of formulas  $F_s$  whose syntax and semantics are defined above. Let us use the notation  $F$  for the set of all the formulas whose syntax and semantics are defined above, and  $(R_1, \dots, R_{|N|})$  (with  $N$  equal to the number of records in the file) for the log files. Log files are files that record everything that happens in a system (for example, a file that traces the users' connections to and disconnections from the machines). A record is a function  $R$  with a finite domain and codomain from  $\Sigma^*$  to  $\Sigma^*$ , or the set of character strings

$$R: \Sigma^* \rightarrow \Sigma^*$$

Let us use the notations  $dom(R)$  and  $codom(R)$ , respectively, for the domain of  $R$  and the codomain of  $R$ .

Example 1 (record) Let us consider the record  $R$  of a log file:

Date = 02:27:2000, operation = connection, machine = papillon,

result = success, subject = Machine

we then have:  $dom(R) = \{\text{date, operation, machine, result, subject}\}$

where  $dom(R)$  is the domain and  $codom$  is the codomain

$codom(R) = \{02:27:2000, \text{connection, papillon, success, Machine}\}$ , and

$$R: \Sigma^* \rightarrow \Sigma^*$$

date  $\rightarrow$  02:27:2000

operation  $\rightarrow$  connection

machine  $\rightarrow$  papillon

result  $\rightarrow$  success

subject  $\rightarrow$  Machine

A log file is therefore a (finite) set of records  $R_1, \dots, R_{|N|}$ .

Let "*Current*" and "*Next*" be sets of formula representations (in the remainder of the description, "formula" will be used to mean "formula representation"); *Current* is the set of formulas to be examined in the current state and *Next* is the set of formulas that must be examined in the next state.

5 In each state, the set "*Current*" is the union of the set "*Next*" and the formulas  $F_s$  associated with the current state. That is what step 2) of the algorithm says.

The current state is represented by the integer  $i$ ;  $1 \leq i \leq |N|$ .

The "log" file is scanned in one pass, and during this scan, in each state, i.e. in each record of the file, the formulas of the set *Current* that are verified are revealed, and those that  
10 contain future operators are added to the set "*Next*" so they can be examined in the next state. That is what the "*Expand*" procedure in step 3) of the algorithm does. This procedure extracts the subformulas from each formula recursively, stores the logical implications that concern them in the form of Horn clauses in a matrix  $M$  (for example, for a formula  $F = F_1 \wedge F_2$ , we have the clauses  $F_1 \rightarrow F$  and  $F_2 \rightarrow F$ ), and for those that are atomic, if they are verified in the  
15 current state (which is what the "*match*" procedure appearing in "*Expand*" looks for), it stores them in a stack (*Stack*), which is a stack of formula representations. Once all the formulas have been expanded in the current state, those that are resolvable are resolved with the help of the matrix and the stack (this is what the "*resolve\_matrix*" procedure in step 4) of the algorithm does). Thus, as a result of the atomic formulas that have been resolved and the  
20 clauses, all the formulas that are verified are stored in the file "*ResForm*" (which is a set of formula representations).

These steps are iterated until the end of the "log" file (as seen in step 4) of the algorithm). Finally, when the entire log file has been scanned, the "*Satis*" procedure of step 5) compares the formulas of the file *ResForm*, which are all formulas verified in a certain state  
25 but which are subformulas of formulas of the specification file, to the formulas of the specification file, in order to see which ones are verified, and in which state(s).

Here is the algorithm itself:

1)  $i = \emptyset$ ;  
*Current* :=  $\emptyset$ ;  
30 *Next* :=  $\emptyset$ ;  
*ResForm* :=  $\emptyset$ ;  
*Stack* := stack\_empty;

$M = ()$ ;

2)  $Current := \{Repr(F, i) / F \in F_s\} \cup Next$ ;

where  $Repr(F, i)$  is a stored representation of  $F$  in the  $i$  state

$Next := \emptyset$ ;

5 3) if  $Current \neq \emptyset$  then:

let  $f \in Current$ ;

$Current := Current \setminus \{f\}$ ;

$Expand(f)$ ;

4)  $resolve\_matrix$ ;

10 if  $i < |N|$

then  $i := i + 1$ ;

go to 2);

otherwise go to 5);

5)  $Satis$ ;

15 We will now define the various procedures used in the algorithm.

**"Expand(f)" procedure**, where  $f$  is a formula representation.

For greater clarity, this procedure will be presented with the help of a table whose meaning will now be explained:

- the "Formula" column is exactly:  $form(f)$ , i.e., the formula represented by  $f$ ,

20 - the "Current" (or respectively, "Next") column designates all the formula representations that have been added to the "Current" (or respectively, "Next") set,

- the "Clause" column designates the clauses that are stored in the matrix with the  $insert\_clause$  procedure described below;

25 - the formula representations added to the "Current" set are in turn expanded recursively;

- the atomic formulas and the formulas with the form  $\neg F_i$ , where  $F_i$  is an atomic formula, are processed separately: if the atomic formula corresponds to the current record (match | record) (the "match" procedure is defined below), then this formula is verified in the  $i$  state; if the atomic formula  $F_i$  does not match the current record, then  $\neg F_i$  is verified in the  $i$  state. More formally:

- 30 - If  $form(f)$  is an atomic formula,  
if  $match(f) = \text{TRUE}$

then  $Stack = Stack(Stack, f)$ ;

- If  $form(f)$  has the form  $\neg F_l$ ,  $F_l$  being an atomic formula,

let  $f_I = \text{Repr}(F_I, i)$ ;

if  $match(f_i) = \text{FALSE}$

then  $Stack = Stack(Stack, f)$ ;

5

Formula	Current	Next	Clause
$F_1 \wedge F_2$	$f_1 = \text{Repr}(F_1, i)$ $f_2 = \text{Repr}(F_2, i)$		$f_1 \wedge f_2 \rightarrow f$
$F_1 \vee F_2$	$f_1 = \text{Repr}(F_1, i)$ $f_2 = \text{Repr}(F_2, i)$		$f_1 \rightarrow f$ $f_2 \rightarrow f$
$\text{OF}_1$		$f_1 = \text{Repr}(F_1, i + 1)$	$f_1 \rightarrow f$
$\text{OF}_1$		$f_1 = \text{Repr}(F_1, i + 1)$ $si\ i \neq  N  (*)$	$f_1 \rightarrow f$
$\Diamond F_1$	$f_1 = \text{Repr}(F_1, i)$	$f_2 = \text{Repr}(\Diamond F_1, i + 1)$	$f_1 \rightarrow f$ $f_2 \rightarrow f$
$F_1$	$f_1 = \text{Repr}(F_1, i)$	$f_2 = \text{Repr}(\Diamond F_1, i + 1)$	$f_1 \wedge f_2 \rightarrow f$
$F_1 U F_2$	$f_1 = \text{Repr}(F_1 \wedge \text{O}(F_1 U F_2), i)$ $f_2 = \text{Repr}(F_2, i)$		$f_1 \rightarrow f$ $f_2 \rightarrow f$
$F_1 W F_2$	$f_1 = \text{Repr}(F_1, i)$ $f_2 = \text{Repr}(F_1 U F_2, i)$		$f_1 \rightarrow f$ $f_2 \rightarrow f$
$\neg(F_2 \wedge F_3)$	$f_1 = \text{Repr}(\neg F_2 \vee \neg F_3, i)$		$f_1 \rightarrow f$
$\neg(F_2 \vee F_3)$	$f_1 = \text{Repr}(\neg F_2 \wedge \neg F_3, i)$		$f_1 \rightarrow f$
$\neg(\neg F_2)$	$f_1 = \text{Repr}(F_2, i)$		$f_1 \rightarrow f$
$\neg(\text{OF}_2)$	$f_1 = \text{Repr}(\text{O}(\neg F_2), i)$		$f_1 \rightarrow f$
$\neg(\text{OF}_2)$	$f_1 = \text{Repr}(\text{O}(\neg F_2), i)$		$f_1 \rightarrow f$
$\neg(\Diamond F_2)$	$f_1 = \text{Repr}(\neg(\Diamond F_2), i)$		$f_1 \rightarrow f$
$\neg(F_2)$	$f_1 = \text{Repr}(\Diamond(\neg F_2), i)$		$f_1 \rightarrow f$
$\neg(F_2 U F_3)$	$f_1 = \text{Repr}(\neg F_3, i)$ $f_2 = \text{Repr}(\neg F_2 U (\neg F_2 \wedge F_3), i)$		$f_1 \rightarrow f$ $f_2 \rightarrow f$
$\neg(F_2 W F_3)$	$F_1 = \text{Repr}((\neg F_3) U (\neg F_2 \wedge \neg F_3), i)$		$f_1 \rightarrow f$

$$*: \text{otherwise, i.e. if } i = |N|,$$

$f_1 = Repr(F, i)$

$Stack = Stack(Stack, f_1);$

**"match(f)" procedure, where f is a formula representation**

In the case of  $form(j)$ :

- if it has the form  $\{id_1 = t_1, \dots, id_n = t_n, \dots\}$ , then:

- if  $\forall j, 1 \leq j \leq n, id_j \in Dom(R_i)$ , and match-term

$(R_i(id_j), t_j, f)$

- then TRUE

- otherwise FALSE

- if it has the form  $\{id_1 = t_1, \dots, id_n = t_n, \dots\}$ , then:

- if  $n = |dom R_i|$  and  $j, 1 \leq j \leq n, id_j \in Dom(R_i)$ ,

and match-term  $(R_i(id_j), t_j, f)$

- then TRUE

- otherwise FALSE

- **"match-term" procedure (w, t, f)** where  $w, t \in \Sigma^* \cup \mathbf{V}$  and f is a formula

representation:

in the case of t:

- if t is a regex:

- if  $Reg(w, t)$

- then TRUE

- otherwise FALSE

- if t is a variable x:

Notation:  $\rho(x)$  is a partial function of the set of variables  $\mathbf{V}$  to the set of character

strings  $\Sigma^*$

- if  $\rho(x)$  is defined

- if  $\rho(x) = w$

- then TRUE

- otherwise FALSE

- if  $\rho(x)$  is not defined, then

Notation: E is the environment constituted by the pairs whose first component is taken from the set of variables and whose second component is taken from the set of character strings

$E := E \cup \{(x, w)\};$

- TRUE;

**Insert-clause procedure (H)**, where H is a Horn clause having one or two formula representations in the negative part:

Notation: If M is a matrix  $m \times n$ ,  $m, n \in \mathbb{N}$ , let  $m_{i,f}$  be the element of the  $i^{\text{th}}$  line indexed by  $f$ , and likewise  $m_{f,1}$  and  $m_{f1,f2}$

In the case of H:

- if H has the form  $f_1 \rightarrow f$ , then

- if there already exists a column of M indicated by  $f_1$

- then add a line indexed by  $f$  with  $m_{f,f1} = 1$ ;

- otherwise, add a column indexed by  $f$  and a line indexed by  $f_1$ , with  $m_{f,f1} = 1$ ;

- if H has the form  $f_1 \wedge f_2$ , then:

- if neither  $f_1$  nor  $f_2$  is an index of any column of M

- then add 2 columns indexed by  $f_1$  and  $f_2$  and a line indexed by  $f$  with

$m_{f,f1}=m_{f,f2}=2$

- if only one of the  $f_i$ ,  $i = 1,2$  is not an index of a column of m, then:

- add a column indexed by  $f_i$  and a line indexed by  $f$  with  $m_{f,fi} = m_{f,fj} = 2$ , where  $j \in \{1,2\} \setminus \{i\}$

- if  $f_1$  and  $f_2$  are indexes of columns of M, then:

- add a line indexed by  $f$  with  $m_{f,f1} = m_{f,f2} = 2$

**resolve-matrix procedure**

- if Stack = stack-empty, then nothing;

- otherwise, let  $f := \text{pop}(\text{Stack})$ ;  $\forall_i$  such that  $m_{i,f}$  is the element that exists then:

-  $m_{i,f} = m_{i,f} - 1$ ;

-  $\forall_j$  such that  $m_{i,j}$  exists, then:  $m_{i,j} := m_{i,j} - 1$

- if  $m_{i,j} = 0$ , then;



- let  $f_1$  be the index of the line  $m_{i,f}$
- if  $f_1 \notin \text{Res-Form}$ , then:
  - $\text{Stack} := \text{stack}(\text{Stack}, f_1)$
  - $\text{Res-form} := \text{Res-Form} \cup \{f_1\}$

5

- dele ( $m_{i,f}$ );
- if the  $i^{\text{th}}$  line, delete it; if the column indexed by  $f$  is empty, delete it

**Satis:**

If  $\text{Stack} \neq \text{stack-empty}$  then:

10

- let  $f_1 = \text{pop}(\text{Stack})$ ;
- if  $f_1 \in F_s$ , then  $\text{form}(f)$  is verified in the state  $\text{state}(f)$

It should be clear to those skilled in the art that the present invention allows embodiments in many other specific forms without going outside the field of application of the invention as claimed. Consequently, the present embodiments should be considered as examples, but can be modified in the field defined by the scope of the attached claims.

15

## ANNEX

### Annex 1

{op = « connection », result = « failed »,...}

5 and later {op = « connection », result = « success »,...}

### Annex 2

E1 : {op = « connection », result = « failed », subject = « machine »}

E2 : {op = « connection », result = « success », subject = « machine »,  
date = « 09 : 14 : 99 »}

10 E3 : {op = « exec », result = « success », object = « emacs », mode = « tex »,  
subject = « machine »}

### Annex 3

15 F  $\wedge$   $\Diamond$  H where F and H are atomic formulas for detecting events expressed in  
temporal logic from atomic formulas.

E1 : {F}

20 E2 : {H}

E3 : {G}

### Annex 4

25

STATES	F	H
E1	1	0
R2	0	1
R3	0	0

Truth tables of the atomic formulas

## 5

### Truth tables of the non-atomic formulas

## 10

[illegible]

# Annex 6

STATES	Formulas et subformulas	Clauses generated
E1	$F \wedge \Diamond H$ $(f_1)$ $(f_1) :$ $F$ $(f_2)$ $\Diamond H$ $(f_3)$ $(f_3) :$ $H \vee O(\Diamond H)$ $(f_4)$ $(f_4) :$ $H$ $(f_5)$  $O(\Diamond H)$ $(f_6)$	$(f_2)$ $(f_2) \wedge (f_3) \rightarrow (f_1)$ $(f_4) \rightarrow (f_3)$ $(f_5) \rightarrow (f_4)$ $(f_5) \rightarrow \text{FALSE}$  $(f_6) \rightarrow (f_4)$
E2	$F \wedge \Diamond H$ $(f_7)$ $(f_6)$ $(\Diamond H)$ $(f_8)$  $(f_8) :$ $H \vee O(\Diamond H)$ $(f_9)$  $(f_9) :$ $H$ $(f_{10})$  $O(\Diamond H)$ $(f_{11})$  $(f_7) :$ $F$ $(f_{12})$ $(\Diamond H)$ $(f_{13})$ $(f_{13}) :$ $H \vee O(\Diamond H)$ $(f_{14})$ $(f_{14}) :$ $H$ $(f_{15})$  $O(\Diamond H)$ $(f_{16})$	$(f_8) \rightarrow (f_6)$ $(f_9) \rightarrow (f_8)$ $(f_{10}) \rightarrow (f_9)$ $(f_{10})$  $(f_{11}) \rightarrow (f_9)$ $(f_{12}) \rightarrow \text{FALSE}$ $(f_{12}) \wedge (f_{13}) \rightarrow (f_7)$ $(f_{14}) \rightarrow (f_{13})$ $(f_{15}) \rightarrow (f_{14})$ $(f_{15})$  $(f_{16}) \rightarrow (f_{14})$
E3	$F \wedge \Diamond H$ $(f_{17})$ $(f_{11}) :$ $\Diamond H$ $(f_{18})$ $(f_{18}) :$ $H \vee O(\Diamond H)$ $(f_{19})$ $(f_{19}) :$ $H$ $(f_{20})$  $O(\Diamond H)$ $(f_{21})$  $(f_{16}) :$ $(\Diamond H)$ $(f_{22})$ $(f_{22}) :$ $H \vee O(\Diamond H)$ $(f_{23})$ $(f_{23}) :$ $H$ $(f_{24})$  $O(\Diamond H)$ $(f_{25})$  $(f_{17}) :$ $F$ $(f_{26})$ $\Diamond H$ $(f_{27})$ $(f_{27}) :$ $H \vee O(\Diamond H)$ $(f_{28})$ $(f_{28}) :$ $H$ $(f_{29})$  $O(\Diamond H)$ $(f_{30})$	$(f_{18}) \rightarrow (f_{11})$ $(f_{19}) \rightarrow (f_{18})$ $(f_{20}) \rightarrow (f_{19})$ $(f_{20}) \rightarrow \text{FALSE}$ $(f_{21}) \rightarrow (f_{19})$ $(f_{22}) \rightarrow (f_{16})$ $(f_{23}) \rightarrow (f_{22})$ $(f_{24}) \rightarrow (f_{23})$ $(f_{24}) \rightarrow \text{FALSE}$  $(f_{25}) \rightarrow (f_{23})$ $(f_{25}) \rightarrow \text{FALSE}$ $(f_{26}) \rightarrow \text{FALSE}$ $(f_{26}) \wedge (f_{27}) \rightarrow (f_{17})$ $(f_{28}) \rightarrow (f_{27})$ $(f_{29}) \rightarrow (f_{28})$ $(f_{29}) \rightarrow \text{FALSE}$ $(f_{30}) \rightarrow (f_{28})$ $(f_{30}) \rightarrow \text{FALSE}$

## CLAIMS

1           1.     High-performance specification resolution method characterized in that it  
2 comprises:  
3           a) a step for formulating the audit conditions one wishes to detect using specification  
4 formulas expressing fraudulent entry or attack patterns or abnormal operations, this being  
5 non-limiting, to be verified by examining the records of the computer system's log file;  
6           b) a step for expanding formulas into subformulas;  
7           c) a step for scanning by an interpreter, which consists of generating, for each  
8 expanded formula in each record, Horn clauses to resolve in order to detect whether or not the  
9 formula is valid in this record, the Horn clauses expressing the implications resolvent of the  
10 subformulas for each record scanned, in positive clauses, i.e. counting only a positive literal,  
11 and in non-positive clauses, i.e. counting at least one negative literal, which negative literals  
12 form the negative part of the clause;  
13           d) a step for the storing positive Horn clauses in a stack of worked subformulas, and a  
14 step for storing, in a table comprising a representation, the implicating subformula(s)  
15 constituting the negative part of the clause and the link with the implicated subformula(s)  
16 constituting the positive part of the clause, and storing in a counter the number of formulas or  
17 subformulas present in the negative part of the clause for each implicated subformula;  
18           e) a step for resolving the table based on each positive clause encountered, so as to  
19 generate either an output file or an action of the computer system;  
20           f) a step for iterating steps b) through e) until the scanning of all the records in the log  
21 file is complete.

1           2.     Method according to claim 1, characterized in that a temporal logic is used for  
2 the formulation of the specification.

1           3.     Method according to claim 1, characterized in that the table is a matrix and is  
2 indexed in columns by the subscripts of the formulas appearing in the negative part of the  
3 Horn clauses, and the lines are the Horn clauses exactly.

1           4.     Method according to claim 1, characterized in that the table is preferably

represented in the form of a sparse matrix, the columns being represented by means of  
chained lists and the lines remaining implicit.

5. Method according to claim 1 or 2, characterized in that a step for optimizing  
the expansion of the formulas is obtained through a hash table in order to ensure that the same  
formula is not expanded more than once in each record.

6. Method according to claim 1, characterized in that the log file is scanned only  
once from beginning to end.

7. Computer system comprising storage means and means for executing  
programs for implementing the method according to any of claims 1 through 6, characterized  
in that the system comprises:

- an adapting means for translating the information from the log file formulated in the  
specific language of the machine into a language comprehensible to an interpreting means;
- the interpreting means receiving the information from the adapter and receiving the  
formulation of the specification in the temporal logic in a specification formula in order to  
expand this formula and fill in the table and the stack of worked subformulas stored in a  
memory of the computer system and resulting from the scanning of the computer system's log  
file;
- a clause processing algorithm executed by the computer system, which makes it  
possible to resolve the Horn clauses using the information from the table and the stack of  
worked subformulas, this clause processing algorithm generating an output file or generating  
an action.

## **ABSTRACT**

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5

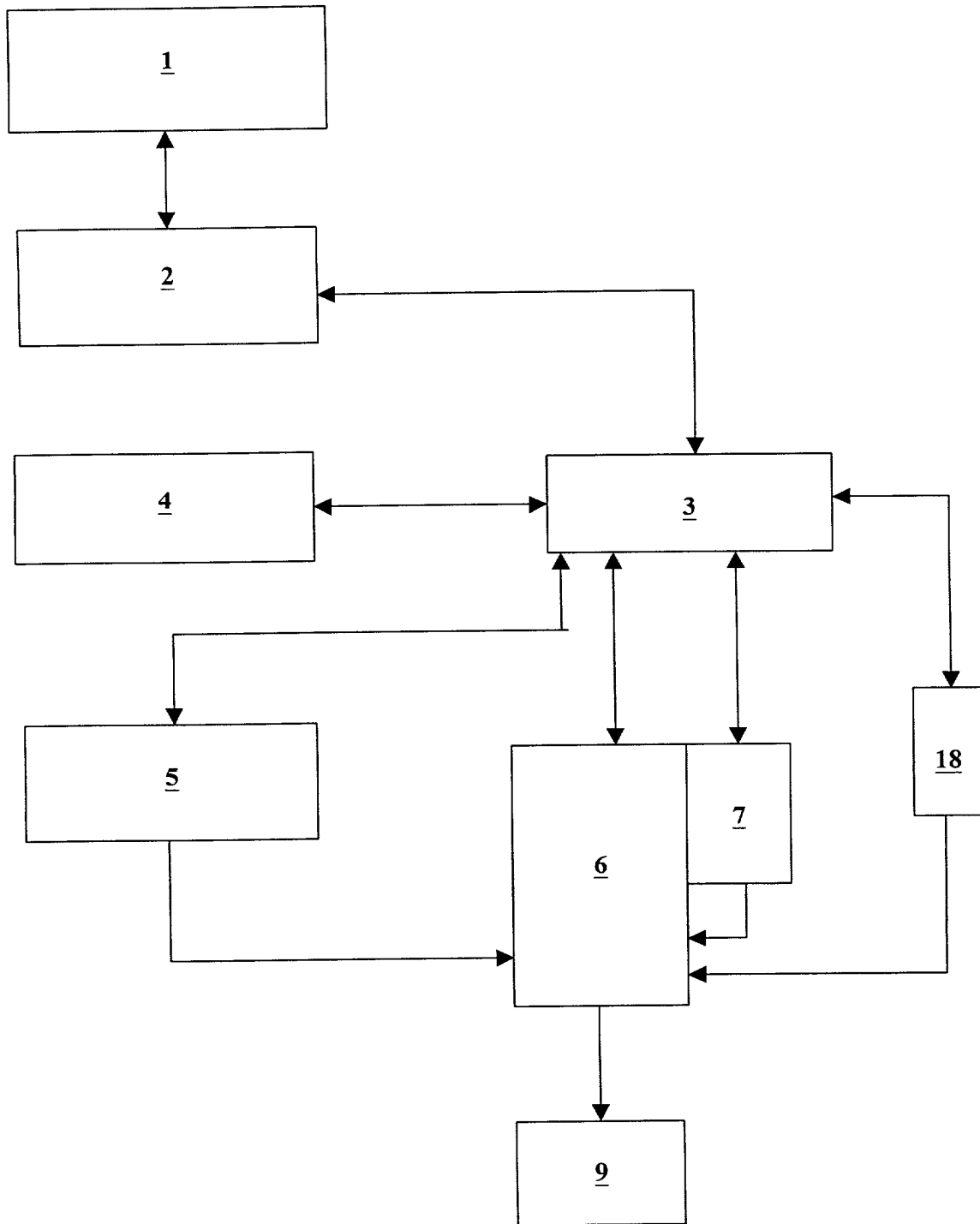
The present invention relates to a method and device for model resolution and its use for detecting attacks against computer systems.

10 The device comprises adapter software for translating the information from the log file, formulated in the specific language of the machine, into a language understandable by the interpreter, an interpreter receiving the information from the adapter and receiving the formulation of the specification in the temporal logic in a specification formula in order to expand this formula and fill in the table and the stack of worked subformulas described above resulting from the scanning of the machine's log file, and a clause processing algorithm for  
15 resolving the Horn clauses using the information from the table and the stack of worked subformulas, this clause processing algorithm generating an output file or generating an action.

Fig. 1

PL 1/2

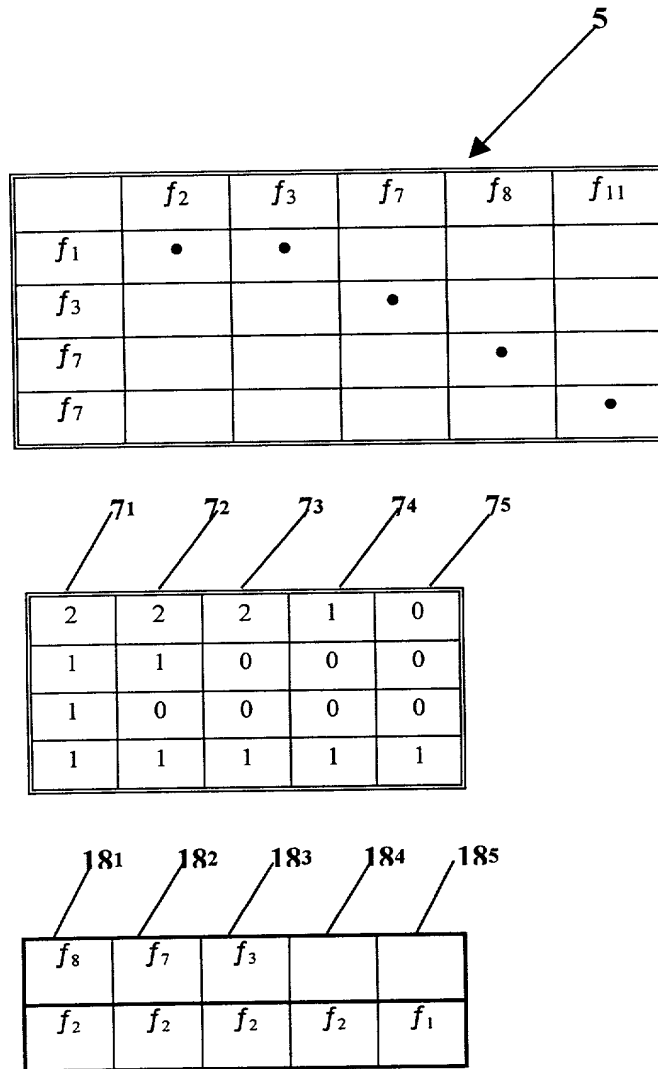
Figure 1



034430 2443 034430



figure 2



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Muriel ROGER et al.

Serial No.: To Be Assigned

Filed: September 13, 2000

For: METHOD AND DEVICE FOR MODEL  
RESOLUTION AND ITS USE FOR  
DETECTING ATTACKS AGAINST  
COMPUTER SYSTEMS

Examiner:

Group Art Unit:

Corres. To FR 99/11716  
Filed September 13, 1999

McLean, Virginia

## PROPOSED DRAWING CORRECTIONS

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

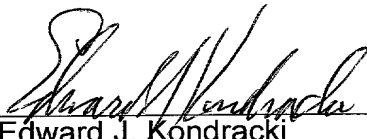
Applicant requests approval of the drawing corrections on Figs. 1 -2 as shown in red on the attached two (2) sheets.

The proposed corrections only comprise labeling the boxes in Fig. 1 to conform the drawing to the specification and claims and removing the headings "1/2" to "2/2" to conform the drawings to U.S. practice.

Respectfully submitted,

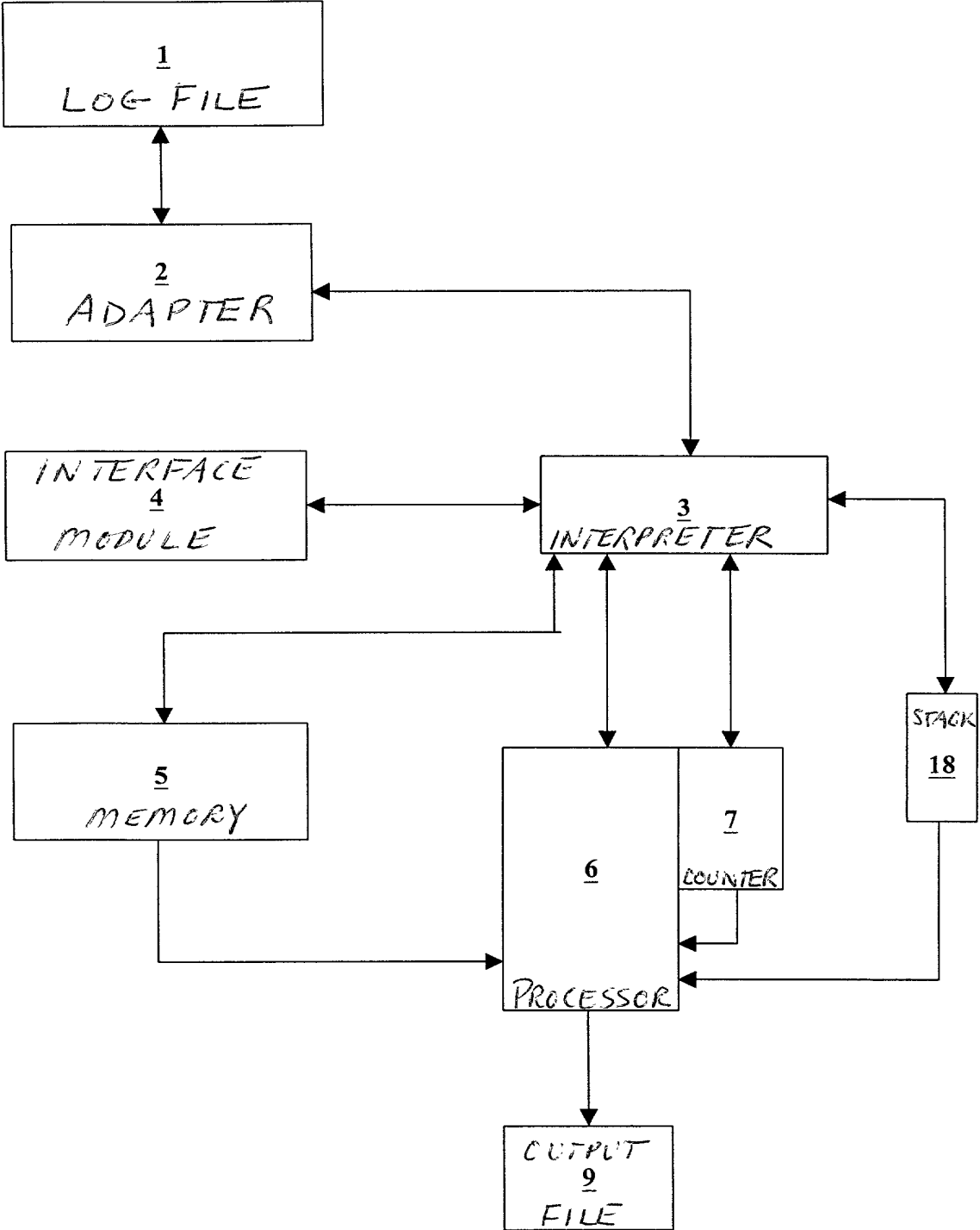
Date September 13, 2000

By:

  
Edward J. Kondracki  
Registration No. 20,604

1751 Pinnacle Drive, Suite 500  
McLean, Virginia 22102-3833  
Telephone (703) 903-9000

## Figure 1



PL 2/2

figure 2

5

	$f_2$	$f_3$	$f_7$	$f_8$	$f_{11}$
$f_1$	•	•			
$f_3$			•		
$f_7$				•	
$f_7$					•

7<sup>1</sup> 7<sup>2</sup> 7<sup>3</sup> 7<sup>4</sup> 7<sup>5</sup>

2	2	2	1	0
1	1	0	0	0
1	0	0	0	0
1	1	1	1	1

18<sup>1</sup> 18<sup>2</sup> 18<sup>3</sup> 18<sup>4</sup> 18<sup>5</sup>

$f_8$	$f_7$	$f_3$		
$f_2$	$f_2$	$f_2$	$f_2$	$f_1$

09661448 091300  
003160 " 8441360

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:	:	
Muriel ROGER et al.	:	Examiner:
	:	
Serial No.: To Be Assigned	:	Group Art Unit:
	:	
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DETECTING ATTACKS AGAINST	:	
COMPUTER SYSTEMS	:	

McLean, Virginia

**CORRESPONDENCE ADDRESS AND  
NOTICE OF FILING WITHOUT DECLARATION**

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

The attached application is being filed on behalf of the  
inventors without a declaration under 37 §1.53(d). A declaration will be  
filed upon notification.

The inventors are:

Muriel ROGER, and


Jean GOUBAULT-LARRECQ

Please address all correspondence to the undersigned Attorney.

Respectfully submitted,

Date September 13, 2000

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